



Open to the Public Investing, Inc.
Member of FINRA & SIPC

Potential Conflicts of Interest

Open to the Public Investing, Inc. (Public) does not act as an investor, placement agent, underwriter, distributor, remarketing agent, structurer, investment manager, investment advisor, commodity trading advisor, commodity pool operator, municipal advisor, market maker, or trader and does not produce any proprietary research.

Public plainly discloses that it acts as an agency broker and does not provide investment advice. In addition, strong information barriers are in place to prevent any customer order or trading information from reaching the proprietary trading operations of its affiliates.

Neither Public nor its affiliates provide advice or solicitations to cause a customer to seek to dispose of, retain or increase a position in one or more securities. There are no “material conflicts of interest” between Public or its affiliates and customers that may arise in connection with Public's performance of services for customers.